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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,691	12/17/2001	Richard Joseph Caballero	M-12420 US

SKJERVEN MORRILL MacPHERSON LLP  
Suite 700  
25 Metro Drive  
San Jose, CA 95110-1349



CONFIRMATION NO. 2964

## FORMALITIES LETTER



\*OC000000007531955\*

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **Fig 10B-1, 10B-2, 11C-3, 11H-3, 11I-3** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

COPY OF PAPERS  
ORIGINALLY FILED

07/15/2002 BNGUYEN1 00000049 502306 10024631


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II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard Joseph Caballero, Mark David Lewis, Dita Naik

Assignee: Siebel Systems, Inc.

Title: System and Method for Processing Complex Orders

Serial No.: 10/024,691

Filing Date: December 17, 2001

Examiner: Unassigned

Group Art Unit: 2171

Docket No.: SBL0020US

Client Ref. No.: SIEB137/00US

Austin, Texas  
July 3, 2002

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application -- Filing Date Granted" mailed by the United States Patent and Trademark Office on February 25, 2002 the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63.
2. Copy of Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted.
3. Three-month Petition for Extension of Time.
4. Sixty-nine (69) sheets of Formal Drawings.

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ORIGINAL FILED

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 52306:

- |    |   |            |
|----|---|------------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00   |
| 2. | Statutory basic filing fee.   | \$0.00     |
| 3. | Three-month Petition for Extension of Time  | \$920.00   |
| 3. | TOTAL FEES:   | \$1,050.00 |

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-identified patent application and justify the filing date of December 17, 2001.

Please telephone the undersigned at (512) 439-5084, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on June 27, 2002

Attorney for Applicant(s)

Date of Signature

Respectfully submitted,

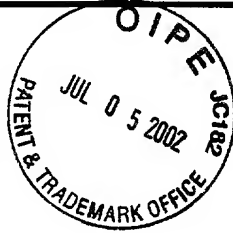
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Docket No.: SBL0020US



June 27, 2002

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Technology Center 2100

Box Non-Fee Amendment  
Commissioner For Patents  
Washington, D.C. 20231

Re: Applicant(s): Richard Joseph Caballero, Mark David Lewis, Dita Naik  
Assignee: Siebel Systems, Inc.  
Title: System and Method for Processing Complex Orders  
Serial No.: 10/024,691  
Examiner: Unassigned  
Docket No.: SBL0020US (M-12420US)

Filed: December 17, 2001  
Group Art Unit: 2171

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (1 page) (in duplicate); and
- (3) Preliminary Amendment (5 pages)

- ☒ No additional fee is required.  
☒ The fee has been calculated as shown below:

**CLAIMS AS AMENDED (fees computed under 37 CFR §1.9(f))**

	Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Additional Fee
Total Claims	66	Minus	66	=	0	x \$ 9.00	\$ 0.00
Independent Claims	5	Minus	5	=	0	x \$40.00	\$ 0.00

☐ Fee of \_\_\_\_\_ for the first filing of one or more multiple dependent claims per application \$

**Total additional fee for this Amendment:** \$ 0.00

- ☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.
- ☒ Please charge our Deposit Account No. 502306 in the amount of \$ 0.00
- ☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502306

**Total:** \$ 0.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner For Patents, Washington, D.C. 20231, on June 27, 2002

Samuel G. Campbell III  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

Samuel G. Campbell III  
Attorney for Applicant(s)  
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